

Control in Sequential Languages

John Mitchell

Topics

- ◆ Structured Programming
 - Go to considered harmful
- ◆ Exceptions
 - “structured” jumps that may return a value
 - dynamic scoping of exception handler
- ◆ Continuations
 - Function representing the rest of the program
 - Generalized form of tail recursion
- ◆ Control of evaluation order (force and delay)
 - Will skip this. You can look at reader if interested.

Fortran Control Structure

```

10 IF (X .GT. 0.000001) GO TO 20
11 X = -X
   IF (X .LT. 0.000001) GO TO 50
20 IF (X*Y .LT. 0.000001) GO TO 30
   X = X-Y-Y
30 X = X+Y
   ...
50 CONTINUE
   X = A
   Y = B-A
   GO TO 11
   ...

```



Historical Debate

- ◆ Dijkstra, Go To Statement Considered Harmful
 - Letter to Editor, *C ACM*, March 1968
 - Now on web: <http://www.acm.org/classics/oct95/>
- ◆ Knuth, Structured Prog. with go to Statements
 - You can use goto, but do so in structured way ...
- ◆ Continued discussion
 - Welch, GOTO (Considered Harmful)ⁿ, n is Odd
- ◆ General questions
 - Do syntactic rules force good programming style?
 - Can they help?

Advance in Computer Science

- ◆ Standard constructs that structure jumps
 - if ... then ... else ... end
 - while ... do ... end
 - for ... { ... }
 - case ...
- ◆ Modern style
 - Group code in logical blocks
 - Avoid explicit jumps except for function return
 - Cannot jump *into* middle of block or function body

Exceptions: Structured Exit

- ◆ Terminate part of computation
 - Jump out of construct
 - Pass data as part of jump
 - Return to most recent site set up to handle exception
 - Unnecessary activation records may be deallocated
 - May need to free heap space, other resources
- ◆ Two main language constructs
 - Declaration to establish exception *handler*
 - Statement or expression to *raise* or *throw* exception

Often used for unusual or exceptional condition, but not necessarily.

ML Example

```
exception Determinant; (* declare exception name *)
fun invert (M) =      (* function to invert matrix *)
  ...
  if ...
  then raise Determinant (* exit if Det=0 *)
  else ...
end;
...
invert (myMatrix) handle Determinant => ... ;
```

Value for expression if determinant of myMatrix is 0

C++ Example

```
Matrix invert(Matrix m) {
  if ... throw Determinant;
  ...
};

try { ... invert(myMatrix); ...
}
catch (Determinant) { ...
  // recover from error
}
```

C++ vs ML Exceptions

◆ C++ exceptions

- Can throw any type
- Stroustrup: "I prefer to define types with no other purpose than exception handling. This minimizes confusion about their purpose. In particular, I never use a built-in type, such as int, as an exception." -- The C++ Programming Language, 3rd ed.

◆ ML exceptions

- Exceptions are a different kind of entity than types.
- Declare exceptions before use

Similar, but ML requires the recommended C++ style.

ML Exceptions

◆ Declaration

exception (name) of (type)
gives name of exception and type of data passed when raised

◆ Raise

raise (name) (parameters)
expression form to raise an exception and pass data

◆ Handler

(exp1) handle (pattern) => (exp2)
evaluate first expression
if exception that matches pattern is raised,
then evaluate second expression instead

General form allows multiple patterns.

Which handler is used?

```
exception Ovflw;
fun reciprocal(x) =
  if x < min then raise Ovflw else 1/x;
(reciprocal(x) handle Ovflw => 0) / (reciprocal(y) handle Ovflw => 1);
```

◆ Dynamic scoping of handlers

- First call handles exception one way
- Second call handles exception another
- General dynamic scoping rule
Jump to most recently established handler on run-time stack

◆ Dynamic scoping is not an accident

- User knows how to handle error
- Author of library function does not

Exception for Error Condition

```
- datatype 'a tree = LF of 'a | ND of ('a tree)*('a tree)
- exception No_Subtree;
- fun lsub (LF x) = raise No_Subtree
  | lsub (ND(x,y)) = x;
> val lsub = fn : 'a tree -> 'a tree
```

- This function raises an exception when there is no reasonable value to return
- We'll look at typing later.

Exception for Efficiency

- ◆ Function to multiply values of tree leaves


```
fun prod(LF x) = x
  | prod(ND(x,y)) = prod(x) * prod(y);
```
- ◆ Optimize using exception


```
fun prod(tree) =
  let exception Zero
    fun p(LF x) = if x=0 then (raise Zero) else x
      | p(ND(x,y)) = p(x) * p(y)
  in
    p(tree) handle Zero => 0
  end;
```

Dynamic Scope of Handler

```
exception X;
((let fun f(y) = raise X
  and g(h) = h(1) handle X => 2
in
  g(f) handle X => 4
end) handle X => 6;
```

scope

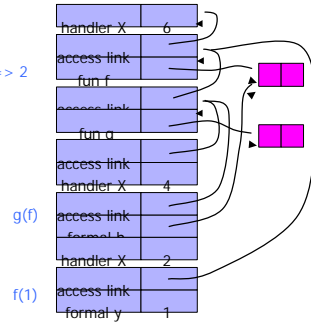
handler

Which handler is used?

Dynamic Scope of Handler

```
exception X;
(let fun f(y) = raise X
  and g(h) = h(1) handle X => 2
in
  g(f) handle X => 4
end) handle X => 6;
```

Dynamic scope:
find first X handler,
going up the
dynamic call chain
leading to raise X.



Compare to static scope of variables

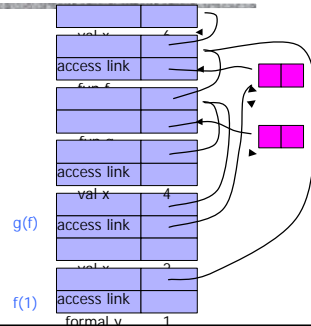
```
exception X;
((let fun f(y) = raise X
  and g(h) = h(1)
  handle X => 2
in
  g(f) handle X => 4
end) handle X => 6;
```

```
val x=6;
(let fun f(y) = x
  and g(h) = let val x=2 in
    h(1)
  in
    let val x=4 in g(f)
  end);
```

Static Scope of Declarations

```
val x=6;
(let fun f(y) = x
  and g(h) = let val x=2 in
    h(1)
  in
    let val x=4 in g(f)
  end);
```

Static scope: find
first x, following
access links from
the reference to X.



Typing of Exceptions

◆ Typing of raise ⟨exn⟩

- Recall definition of typing
 - Expression e has type t if normal termination of e produces value of type t
- Raising exception is not normal termination
 - Example: 1 + raise X

◆ Typing of handle ⟨exn⟩ => ⟨value⟩

- Converts exception to normal termination
- Need type agreement
- Examples
 - 1 + ((raise X) handle X => e) Type of e must be int
 - 1 + (e₁ handle X => e₂) Type of e₁, e₂ must be int

Exceptions and Resource Allocation

```
exception X;
(let
  val x = ref [1,2,3]
in
  let
    val y = ref [4,5,6]
  in
    ... raise X
  end
end); handle X => ...
```

- ◆ Resources may be allocated between handler and raise
- ◆ May be "garbage" after exception
- ◆ Examples
 - Memory
 - Lock on database
 - Threads
 - ...

General problem: no obvious solution

Continuations

- ◆ General technique using higher-order functions
 - Allows "jump" or "exit" by function call
- ◆ Used in compiler optimization
 - Make control flow of program explicit
- ◆ General transformation to "tail recursive form"
- ◆ Idea:
 - The continuation of an expression is "the remaining work to be done after evaluating the expression"
 - Continuation of e is a function applied to e

Example

- ◆ Expression
 - $2*x + 3*y + 1/x + 2/y$
- ◆ What is continuation of $1/x$?
 - Remaining computation after division

```
let val before = 2*x + 3*y
    fun continue(d) = before + d + 2/y
in
  continue (1/x)
end
```

Example: Tail Recursive Factorial

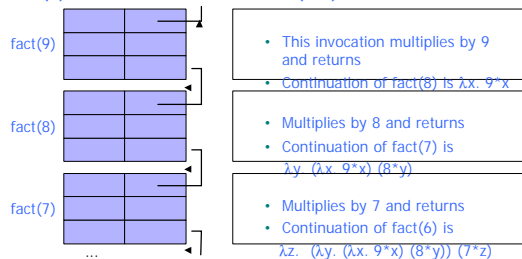
- ◆ Standard recursive function


```
fact(n) = if n=0 then 1 else n*fact(n-1)
```
- ◆ Tail recursive


```
f(n,k) = if n=0 then k else f(n-1, n*k)
fact(n) = f(n,1)
```
- ◆ How could we derive this?
 - Transform to continuation-passing form
 - Optimize continuation functions to single integer

Continuation view of factorial

$fact(n) = \text{if } n=0 \text{ then } 1 \text{ else } n*fact(n-1)$



Derivation of tail recursive form

- ◆ Standard function


```
fact(n) = if n=0 then 1 else n*fact(n-1)
```
- ◆ Continuation form


```
fact(n, k) = if n=0 then k(1)
              else fact(n-1, lambda x.k (n*x))
```

$fact(n, \lambda x.x)$ computes $n!$
- ◆ Example computation


```
fact(3, lambda x.x) = fact(2, lambda y.((lambda x.x) (3*y)))
                    = fact(1, lambda x.((lambda y.3*y)(2*x)))
                    = lambda x.((lambda y.3*y)(2*x)) 1 = 6
```

Tail Recursive Form

◆ Optimization of continuations

```
fact(n,a) = if n=0 then a
           else fact(n-1, n*a)
```

Each continuation is effectively $\lambda x.(a*x)$ for some a

◆ Example computation

```
fact(3,1) = fact(2, 3)   was fact(2,  $\lambda y.3*y$ )
           = fact(1, 6)   was fact(1,  $\lambda x.6*x$ )
           = 6
```

Other uses for continuations

◆ Explicit control

- Normal termination -- call continuation
- Abnormal termination -- do something else

◆ Compilation techniques

- Call to continuation is functional form of "go to"
- Continuation-passing style makes control flow explicit

MacQueen: "Callcc is the closest thing to a 'come-from' statement I've ever seen."

Capturing Current Continuation

◆ Language feature

(use open SMLofNJ; on Leland)

- `callcc` : *call* a function with *current continuation*
- Can be used to abort subcomputation and go on

◆ Examples

- `callcc (fn k => 1);`
> val it = 1 : int
 - Current continuation is "fn x => print x"
 - Continuation is not used in expression.
- `1 + callcc(fn k => 5 + throw k 2);`
> val it = 3 : int
 - Current continuation is "fn x => print 1+x"
 - Subexpression `throw k 2` applies continuation to 2

More with callcc

◆ Example

```
1 + callcc(fn k1 => ...
           callcc(fn k2 => ...
                 if ... then (throw k1 0)
                 else (throw k2 "stuck")
           ))
```

◆ Intuition

- `Callcc` lets you mark a point in program that you can return to
- `Throw` lets you jump to that point and continue from there

Continuations in compilation

◆ SML continuation-based compiler [Appel, Steele]

- 1) Lexical analysis, parsing, type checking
- 2) Translation to λ -calculus form
- 3) Conversion to continuation-passing style (CPS)
- 4) Optimization of CPS
- 5) Closure conversion – eliminate free variables
- 6) Elimination of nested scopes
- 7) Register spilling – no expression with >n free vars
- 8) Generation of target assembly language program
- 9) Assembly to produce target-machine program

Summary

◆ Structured Programming

- Go to considered harmful

◆ Exceptions

- "structured" jumps that may return a value
- dynamic scoping of exception handler

◆ Continuations

- Function representing the rest of the program
- Generalized form of tail recursion
- Used in Lisp, ML compilation