# Automata and Formal Languages Comprehensive Exam

### Fall 2004

## Problem 1 (10 points)

Give context-free grammars generating the following languages over the alphabet  $\{0, 1\}$  (you need not prove the correctness of your grammars):

- (a) {a<sup>i</sup>b<sup>j</sup>a<sup>i+j+k</sup>b<sup>k</sup> : i, j, k ≥ 0};
- (b) all strings with an equal number of a's and b's.

#### Solution:

(a)

${}^{S}$	$\rightarrow$	AC	(1)
A	$\rightarrow$	aAa	(2)
Α	$\rightarrow$	В	(3)
В	$\rightarrow$	bBa	(4)
B	$\rightarrow$	ε	(5)
C	$\rightarrow$	aCb	(6)

(b)

s	$\rightarrow$	aSbS	(8)
---	---------------	------	-----

(7)

- $S \rightarrow bSaS$  (9)
- $S \rightarrow \epsilon$  (10)

# Problem 2 (15 points)

Decide whether the following statements are TRUE or FALSE. You will receive 3 points for each correct answer and -2 points for each incorrect answer.

 $C \rightarrow$ 

6

- (a) If L<sub>1</sub> and L<sub>2</sub> are both non-regular, then L<sub>1</sub> ∩ L<sub>2</sub> must be non-regular.
- (b) Suppose there is a polynomial-time reduction from the language L<sub>1</sub> to the language L<sub>2</sub>. It is possible that L<sub>1</sub> is solvable in polynomial time but L<sub>2</sub> is not even in NP.
- (c) Suppose there is a polynomial-time reduction from the language L<sub>1</sub> to the language L<sub>2</sub>. If L<sub>1</sub> is recursive, then L<sub>2</sub> must be recursive.
- (d) Every infinite regular set contains a subset that is not recursively enumerable.
- (e) Every infinite recursively enumerable set contains an infinite subset that is recursive.

Solution:

- (a) FALSE
- (b) TRUE
- (c) FALSE
- (d) TRUE
- (e) TRUE

# Problem 3 (15 points)

Classify each of the following languages as being in one of the following classes of languages: empty, finite, regular, context-free, recursive, recursively enumerable. You must give the smallest class that contains every possible language fitting the following definitions. For example, the language of a DFA could be empty or finite, and must always be context-free, but the smallest class that contains all such languages is that of the regular languages. You will receive 3 points for each correct answer and -2 points for each incorrect answer.

- (a) The intersection of a context-free language and a regular language.
- (b) The intersection of a recursive language and a regular language.
- (c) The languages accepted by nondeterministic pushdown automata with a single state that accept by empty stack.
- (d) The languages accepted by nondeterministic pushdown automata with two stacks.
- (e) The complement of a language in NP.

#### Solution:

- (a) Context-free
- (b) Recursive
- (c) Context-free
- (d) Recursively enumerable
- (e) Recursive

### Problem 4 (15 points)

Specify which of the following problems are decidable and which are undecidable. You will receive 3 points for each correct answer and -2 points for each incorrect answer.

- (a) Given a Turing machine M, does M halt when started with an empty tape?
- (b) Given a context-free language L and a regular language R, is L ⊆ R?
- (c) Given a context-free language L and a regular language R, is R ⊆ L?
- (d) Given a DFA, does it accept on only finitely many inputs?
- (e) Given a PDA, does it accept on only finitely many inputs?

#### Solution:

(a) Undecidable

- (b) Decidable
- (c) Undecidable
- (d) Decidable
- (e) Decidable

### Problem 5 (15 points)

A monotone 2-SAT formula is a 2-CNF Boolean formula  $F(x_1, ..., x_n)$  that does not contain negated variables. For example:

$$F(x_1, x_2, x_3, x_4) = (x_1 \lor x_2) \land (x_2 \lor x_4) \land (x_1 \lor x_4) \land (x_2 \lor x_3).$$

It is clear that there always exists a truth assignment for the variables  $x_1, ..., x_n$  satisfying the formula F—simply set each variable to TRUE.

Consider the following problem called MONOTONE 2-SAT: given a monotone 2-SAT formula F and a positive integer k, determine whether there exists a truth assignment satisfying F such that the number of variables set to TRUE is at most k.

Prove that the MONOTONE 2-SAT problem is NP-complete. (Hint: Think about the NP-complete VERTEX COVER problem.)

Solution: Recall that in a graph G = (V, E) with  $V = \{1, 2, ..., n\}$ , a vertex cover is a set  $C \subseteq V$  of vertices such that for each edge  $(i, j) \in E$ , at least one of its endpoints is in C:  $\{i, j\} \cap C \neq \emptyset$ . The VERTEX COVER problem is the following: given a graph G = (V, E) and a positive integer k, does G contain a vertex cover of size at most k? We know that VC is NP-hard, and establish NP-hardness of MONOTONE 2-SAT via a polynomial-time reduction from VC.

The reduction starts with a VC instance  $\langle G, k \rangle$  and creates an instance  $\langle F, k \rangle$  of MONOTONE 2-SAT, where the monotone 2-CNF formula F is defined as follows: for each vertex  $i \in V$ , create a Boolean variable  $x_i$ ; for each edge  $(i, j) \in E$ , create a clause  $x_i \vee x_j$ . The reduction runs in linear time, but it remains to verify its correctness.

Suppose G has a vertex cover C of size at most k. Consider the truth assignment for the variables in F in which  $x_i = \text{TRUE}$  if and only if  $i \in C$ ; clearly, the number of TRUE variables is at most k. We claim that this is a satisfying truth assignment for F. To establish the claim, consider an arbitrary clause  $x_i \lor x_j$  of F. Since (i, j) must be an edge of G, and hence C must contain at least one of i and j, it follows that at least one of  $x_i$  and  $x_j$  is assigned TRUE and hence the clause is satisfied.

Suppose now that there is a satisfying truth assignment for F with no more than k variables set to TRUE. Consider the set of vertices  $C = \{i : x_i = \text{TRUE}\}$ ; clearly,  $|C| \le k$ . We claim that C is a vertex cover for G. To see this, focus on any one edge  $(i, j) \in E$ . Since F must have a clause  $x_i \lor x_j$ , and that clause is satisfied, at least one of  $x_i$  and  $x_j$  is assigned TRUE and so at least one end-point of the edge (i, j) belongs to C.

Finally, MONOTONE 2-SAT is in NP because the feasibility of a candidate solution (i.e., a truth assignment) can be checked in polynomial time.